

Format of holding of specified securities

- 1.0 Name of Listed Entity: SAM INDUSTRIES LIMITED
2.0 Scrip Code/Name of Scrip/Class of Security :- 532005
3.0 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending 30/06/2017
b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.0 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes | No |
|---|--|-----|----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| 4 | Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | NO |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5.0 The tabular format for disclosure of holding of specified securities is as follows:-

| Category (i) | Category of shareholder (ii) | Nos. of shareholders(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (viii) | Number of voting rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in dematerialized form (xiv) |
|----------------------|---------------------------------------|---------------------------|---|---|--|------------------------------|--|--|------------------------|--------------|--|---|----------------------------------|-------------|--|-------------|---|
| | | | | | | | | No of Class Eq. Share | Voting Class Eq. Share | Rights Total | | | Total as a % of (a+b+c) | No.(a) | as a % of Total shares held (b) | No.(a) | |
| (A) | Promoter & Promoter Group | 12 | 7907906 | 0 | 0 | 7907906 | 71.32 | 0 | 0 | 0 | 0 | 71.32 | 0 | 0.00 | 0 | 0.00 | 7907906 |
| (B) | Public | 923 | 3180564 | 0 | 0 | 3180564 | 28.68 | 0 | 0 | 0 | 0 | 28.68 | 0 | 0.00 | 0 | 0.00 | 2849629 |
| (C) | Non Promoter Non Public | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C2) | Shares Held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| GRAND TOTAL : | | 935 | 11088470 | 0 | 0 | 11088470 | 100.00 | 0 | 0 | 0 | 0 | 100 | 0 | 0.00 | 0 | 0.00 | 10757535 |

| Category & Name of the shareholders (i) | PAN(ii) | Nos. of shareholders(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (viii) | Number of voting rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in dematerialized form (xiv) | |
|--|------------|---------------------------|---|---|--|------------------------------|---|--|-------------------------------|-------------------------|--|---|----------------------------------|---------------------------------|--|---------------------------------|---|--|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | Total as a % of (a+b+c) | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | |
| (1) Indian | | | | | | | | | | | | | | | | | | |
| (A) Individuals / Hindu Undivided Family | | | | | | | | | | | | | | | | | | |
| GITANJALI ASHUTOSH MAHESHWARI | AAGPM8780M | | 422714 | 0 | 0 | 422714 | 3.81 | 0 | 0 | 0 | 0 | 3.81 | 0 | 0.00 | 0 | 0.00 | 422714 | |
| Ashutosh Ashokkumar Maheshwari | AABPM4270H | | 108482 | 0 | 0 | 108482 | 0.98 | 0 | 0 | 0 | 0 | 0.98 | 0 | 0.00 | 0 | 0.00 | 108482 | |
| A H MAHESHWARI (HUF) | AAGHA4364M | | 20000 | 0 | 0 | 20000 | 0.18 | 0 | 0 | 0 | 0 | 0.18 | 0 | 0.00 | 0 | 0.00 | 20000 | |
| Arvind Ashokkumar Maheshwari | AABPM4271G | | 3190 | 0 | 0 | 3190 | 0.03 | 0 | 0 | 0 | 0 | 0.03 | 0 | 0.00 | 0 | 0.00 | 3190 | |
| Ashokkumar H Maheshwarri | AABPM4268K | | 10 | 0 | 0 | 10 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 10 | |
| Suman Ashokkumar Maheshwari | AABPM4269J | | 10 | 0 | 0 | 10 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 10 | |
| (B) Central Government / State Government(s) | | | | | | | | | | | | | | | | | | |
| (C) Financial Institution / Banks | | | | | | | | | | | | | | | | | | |
| (D) Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| BODIES CORPORATE | | | | | | | | | | | | | | | | | | |
| SAM EXIM LIMITED | AAACS5351F | | 5322398 | 0 | 0 | 5322398 | 48.00 | 0 | 0 | 0 | 0 | 48.00 | 0 | 0.00 | 0 | 0.00 | 5322398 | |
| SAM FARMS AND FOODS PRIVATE LIMITED | AAKCS2790N | | 786000 | 0 | 0 | 786000 | 7.09 | 0 | 0 | 0 | 0 | 7.09 | 0 | 0.00 | 0 | 0.00 | 786000 | |
| Dwekam Weld Tech Private Limited | AAACD5317N | | 640602 | 0 | 0 | 640602 | 5.78 | 0 | 0 | 0 | 0 | 5.78 | 0 | 0.00 | 0 | 0.00 | 640602 | |
| STEWARD TRADING AND INVESTMENT PRIVATE LIMITED | AADCS8989C | | 307000 | 0 | 0 | 307000 | 2.77 | 0 | 0 | 0 | 0 | 2.77 | 0 | 0.00 | 0 | 0.00 | 307000 | |
| CELESTIAL COMMERCIAL PRIVATE LIMITED | AABCC1142P | | 196500 | 0 | 0 | 196500 | 1.77 | 0 | 0 | 0 | 0 | 1.77 | 0 | 0.00 | 0 | 0.00 | 196500 | |
| SAM HOTELIERS AND RESORTS PRIVATE LIMITED | AAACS5213L | | 101000 | 0 | 0 | 101000 | 0.91 | 0 | 0 | 0 | 0 | 0.91 | 0 | 0.00 | 0 | 0.00 | 101000 | |

| Category & Name of Category & Name of ory the shareholders (i) | PAN(ii) | Nos. of sharehold ers(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares partly paid underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (viii) | Number of voting rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in demateralized form (xiv) | |
|--|---------|----------------------------------|---|---|---|------------------------------------|---|---|---|---|--|----------|--|--|--|--|---|----------------|--|--|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | Total Total as a % of (a+b+c) | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | | | |
| Sub Total (A) | | 12 | 7907906 | 0 | 0 | 7907906 | 71.32 | 0 | 0 | 0 | 0 | 0 | 71.32 | 0 | 0.00 | 0 | 0.00 | 7907906 | | |
| (2) Foreign | | | | | | | | | | | | | | | | | | | | |
| (A) Individuals (Non Resident Individuals / Foreign Individuals) | | | | | | | | | | | | | | | | | | | | |
| (B) Government | | | | | | | | | | | | | | | | | | | | |
| (C) Institutions | | | | | | | | | | | | | | | | | | | | |
| (D) Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | | | |
| (E) Any Other (Specify) | | | | | | | | | | | | | | | | | | | | |
| BODIES CORPORATE | | | | | | | | | | | | | | | | | | | | |
| Sub Total (B) | | 0 | | | | | | | | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group | | 12 | 7907906 | 0 | 0 | 7907906 | 71.32 | 0 | 0 | 0 | 0 | 0 | 71.32 | 0 | 0.00 | 0 | 0.00 | 7907906 | | |

| Category & Name of the shareholders (i) | PAN(ii) | Nos. of shareholders(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957 (viii)) | Number of voting rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in dematerialized form (xiv) | |
|--|---------|---------------------------|---|---|--|------------------------------|--|--|-------------------------------|-------------------------|--|---|----------------------------------|---------------------------------|--|---------------------------------|---|--------------|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | Total as a % of (a+b+c) | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | |
| (I) Any Other (Specify) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| FOREIGN INSTITUTIONAL INVESTORS | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| Sub Total (A) | | 1 | 59000 | 0 | 0 | 59000 | 0.53 | 0 | 0 | 0 | 0 | 0 | 0.53 | 0 | 0.00 | 0 | 0.00 | 59000 |
| (2) Central Government / State Government(s)/ President Of India | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| Sub Total (B) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (3) Non- Institutions | | | | | | | | | | | | | | | | | | |
| (A) Individuals - I. Individual Shareholders holding nominal share capital up to Rs. 2 lakhs | | 878 | 764763 | 0 | 0 | 764763 | 6.90 | 0 | 0 | 0 | 0 | 0 | 6.90 | 0 | 0.00 | 0 | 0.00 | 436828 |
| (B) II. Individual Shareholders holding nominal share capital in excess Of Rs. 2 lakhs | | 4 | 113000 | 0 | 0 | 113000 | 1.02 | 0 | 0 | 0 | 0 | 0 | 1.02 | 0 | 0.00 | 0 | 0.00 | 113000 |

| Category & Name of the shareholders (i) | PAN(ii) | Nos. of shareholders(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957 (viii)) | Number of voting rights held in each class of securities | | Total as a % of (a+b+c) | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in dematerialized form (xiv) | |
|---|------------|---------------------------|---|---|--|------------------------------|--|--|-------------------------------|-------------------------|--|---|----------------------------------|---------------------------------|--|---------------------------------|---|--|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | |
| (C) NBFCs Registered with RBI | | | | | | | | | | | | | | | | | | |
| (D) Employee Trusts | | | | | | | | | | | | | | | | | | |
| (E) Overseas Depositories (holding DRs) (Balanceing figure) | | | | | | | | | | | | | | | | | | |
| (F) Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| (G) BODIES CORPORATE | | | | | | | | | | | | | | | | | | |
| | | 38 | 2243001 | 0 | 0 | 2243001 | 20.23 | 0 | 0 | 0 | 0 | 20.23 | 0 | 0.00 | 0 | 0.00 | 2240001 | |
| ACTIVE SHARE AND STOCKS PVT LTD | AAECA4522E | | 533700 | 0 | 0 | 533700 | 4.81 | 0 | 0 | 0 | 0 | 4.81 | 0 | 0.00 | 0 | 0.00 | 533700 | |
| CEREBRAL SECURITIES PVT LTD | AACCC2825N | | 531393 | 0 | 0 | 531393 | 4.79 | 0 | 0 | 0 | 0 | 4.79 | 0 | 0.00 | 0 | 0.00 | 531393 | |
| QUICKAGE SECURITIES PVT LTD | AAACQ1448F | | 500000 | 0 | 0 | 500000 | 4.51 | 0 | 0 | 0 | 0 | 4.51 | 0 | 0.00 | 0 | 0.00 | 500000 | |
| KRISHNA KRIPA HOLDINGS PVT. LTD. | AAACK7152N | | 376161 | 0 | 0 | 376161 | 3.39 | 0 | 0 | 0 | 0 | 3.39 | 0 | 0.00 | 0 | 0.00 | 376161 | |
| SHEETAL KRAFTS PVT LTD | AAGCS6930C | | 157000 | 0 | 0 | 157000 | 1.42 | 0 | 0 | 0 | 0 | 1.42 | 0 | 0.00 | 0 | 0.00 | 157000 | |
| (H) NRI & OCB | | | | | | | | | | | | | | | | | | |
| | | 1 | 400 | 0 | 0 | 400 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 400 | |
| (I) Clearing Member | | | | | | | | | | | | | | | | | | |
| | | 1 | 400 | 0 | 0 | 400 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 400 | |
| Sub Total (C) | | 922 | 3121564 | 0 | 0 | 3121564 | 28.15 | 0 | 0 | 0 | 0 | 28.15 | 0 | 0.00 | 0 | 0.00 | 2790629 | |

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|---|---------|---------------------------|---|---|---|------------------------------|--|--|-------------------------------|-------------------------|--|---|--|--|---|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | | | | No.(a) as a % of Total shares held (b) | No.(a) as a % of Total shares held (b) | |

(1) Custodian/DR Holder

(A) Name of DR Holder (if available)

(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations ,2014)

| FNO /CLIENT ID | NAME | PAN | NO OF SHARES | WARRANT |
|---|--|------------|---------------|----------|
| Financial Institutions / Banks | | | | |
| IN30045011115262 | M P STATE INDUSTRIAL DEVELOPMENT CORPORATION LIMITED | AACCM0327M | 59000 | 0 |
| TOTAL RECORDS | 1 | | 59000 | 0 |
| II. Individual Shareholders holding nominal share capital in excess Of Rs. 2 lakhs | | | | |
| IN30087010157787 | MUKESH P. PUROHIT | AGCPP0581B | 35400 | 0 |
| IN30036020313454 | DILIP CHHOTALAL MORZARIA | AADPM9919M | 28300 | 0 |
| 1201860000461109 | RAKESH PARASRAM PUROHIT | AGCPP0555D | 25400 | 0 |
| IN30048412203839 | ARVIND CHHOTALAL MORZARIA | AEKPM9977L | 23900 | 0 |
| TOTAL RECORDS | 4 | | 113000 | 0 |
| BODIES CORPORATE | | | | |
| IN30133018788677 | ACTIVE SHARE AND STOCKS PVT LTD | AAECA4522E | 533700 | 0 |
| IN30133018788860 | CEREBRAL SECURITIES PVT LTD | AACCC2825N | 531393 | 0 |
| IN30133018819369 | QUICKAGE SECURITIES PVT LTD | AAACQ1448F | 500000 | 0 |
| 1302590001142537 | KRISHNA KRIPA HOLDINGS PVT. LTD. | AAACK7152N | 376161 | 0 |
| IN30112716114323 | SHEETAL KRAFTS PVT LTD | AAGCS6930C | 157000 | 0 |
| IN30198310008174 | ACME FERRO ALLOYS PRIVATE LIMITED | AAECA5419J | 41204 | 0 |
| 1203330000658736 | ARHAM CREDIT CAPITAL PRIVATE LIMITED | AAICA8138J | 34500 | 0 |
| IN30015910845089 | KEWALRAMANI FINANCE AND INVESTMENT COMPANY PVT LTD | AAACK1778N | 13300 | 0 |
| IN30015910845098 | KAY DEE HOLDING CO. PVT LTD | AABCK4646C | 13300 | 0 |
| 1204560000001894 | NARIMAN FINVEST PVT.LTD. | AABCN2859C | 8905 | 0 |
| 1601010000062862 | VENUS WIRE INDUSTRIES LIMITED | AAACV1421F | 8800 | 0 |
| IN30372710014597 | SPECTRUM STOCK SERVICES PRIVATE LIMITED | AADCS7838L | 4642 | 0 |
| IN30107110035577 | VARIETY INVESTMENTS PVT LTD | AAACV3458Q | 4000 | 0 |
| 17334 | SWARUP CHEMICALS PVT LTD | | 2200 | 0 |
| IN30202534057144 | INDIA PESTICIDES LIMITED | AAACI3591D | 2200 | 0 |
| 1201860000000115 | SYKES & RAY EQUITIES (I) LTD | AAECS5168A | 2053 | 0 |
| IN30009510834366 | Impact Labs Pvt Ltd | AAACI1272K | 2000 | 0 |
| IN30147710000096 | BONANZA PORTFOLIO LTD | AAACB0764B | 1545 | 0 |
| IN30205010000020 | JYOTI PORTFOLIO PRIVATE LIMITED | AAACJ1417H | 1404 | 0 |
| IN30045010295085 | MEHIDPURWALA FURNISHERS P. LTD. | AACCM2658K | 1000 | 0 |
| 4893 | DYNATRON PVT LTD | | 800 | 0 |
| 1204150000007808 | JAINAM SHARE CONSULTANTS PVT. LTD | AABCJ3918N | 500 | 0 |
| 1202920000074686 | JSEL SECURITIES LTD.(050) CLIENT MARGIN A/C | AAACJ9169L | 500 | 0 |
| IN30039410014644 | KARVY STOCK BROKING LIMITED | AABCK5190K | 500 | 0 |
| 1203840000000086 | SHRIRAM INSIGHT SHARE BROKERS LTD | AAACI2727H | 400 | 0 |
| IN30236510000130 | SHRI PARASRAM HOLDINGS PVT.LTD. | AAACS4487J | 250 | 0 |
| IN30357510001622 | MATALIA STOCK BROKING PVT LTD | AADCM9097M | 200 | 0 |
| 1203320000000066 | ANGEL BROKING PRIVATE LIMITED. | AAACM6094R | 120 | 0 |
| 1202620000015837 | AJIT JAIN SECURITIES PVT LTD | AACCA5273C | 109 | 0 |
| 1201370000022619 | MEHTA EQUITIES LTD. | AAACR4143C | 100 | 0 |
| 1204370000004838 | SWASTIKA INVESTMART LIMITED | AABCS6585J | 100 | 0 |
| 1201070000446125 | SAGARIKA INFRASTRUCTURE PRIVATE LIMITED | AAPCS1951E | 44 | 0 |
| IN30305210788796 | MONARCH NETWORTH CAPITAL LIMITED | AAACN1184F | 29 | 0 |

BODIES CORPORATE

| | | | | |
|------------------|--|------------|----|---|
| 1204910000000042 | FAIRWEALTH SECURITIES LIMITED | AAACF8795N | 25 | 0 |
| 1201250000016281 | CHURIWALA SECURITIES PVT. LTD. | AAACC6224F | 10 | 0 |
| IN30132110399438 | ISHA SECURITIES LIMITED | AAACI3648H | 5 | 0 |
| IN30015910259280 | JNJ HOLDINGS PVT. LTD. | AAACJ2047D | 1 | 0 |
| IN30023910851718 | CENTRE FOR MONITORING INDIAN ECONOMY PVT LTD | AAACC1519P | 1 | 0 |

| | | | | |
|----------------------|-----------|--|----------------|----------|
| TOTAL RECORDS | 38 | | 2243001 | 0 |
|----------------------|-----------|--|----------------|----------|

NRI & OCB

| | | | | |
|------------------|-----------------------------|------------|-----|---|
| IN30267937194002 | VIVEK CHANDRABHUSHAN GIOTRA | AFPPG7476Q | 400 | 0 |
|------------------|-----------------------------|------------|-----|---|

| | | | | |
|----------------------|----------|--|------------|----------|
| TOTAL RECORDS | 1 | | 400 | 0 |
|----------------------|----------|--|------------|----------|

Clearing Member

| | | | | |
|------------------|-----------------------|------------|-----|---|
| IN30009512122683 | Angel Broking Pvt Ltd | AAACM6094R | 400 | 0 |
|------------------|-----------------------|------------|-----|---|

| | | | | |
|----------------------|----------|--|------------|----------|
| TOTAL RECORDS | 1 | | 400 | 0 |
|----------------------|----------|--|------------|----------|
